

IA: \_\_\_\_\_

**CLIENT INFORMATION UPDATE FORM**

To: Global Securities Corporation

Re: Account Name: \_\_\_\_\_

Account Number(s): \_\_\_\_\_

The information in the item(s) completed below represent an update of or revision to the information currently maintained by Global Securities Corporation.

**1 CHANGE IN CLIENT'S PERSONAL INFORMATION**

**Change of Home Address, Telephone or Fax Numbers or Email Address**

Apt/Ste	Street	City	
Province	Country	Postal Code	Email Address
Home Phone	Business Phone	Cellular Phone	Fax

**Change of Confirmation and Statement Mailing Address**

My trade confirmations and monthly account statements should be sent to:  Same as above, or

Apt/Ste	Street	City
Province	Country	Postal Code

**2 CHANGE IN FINANCIAL INFORMATION**

Change of Net Worth (use calculation below)	Change of Income
<p><b>ESTIMATED NET FINANCIAL ASSETS</b> (cash and securities less loans outstanding) \$ _____</p> <p>plus</p> <p><b>ESTIMATED NET FIXED ASSETS</b> (fixed assets less loans outstanding) \$ _____</p> <p>equals</p> <p><b>TOTAL NET WORTH</b> \$ _____</p>	<p>State approximate <b>ANNUAL INCOME BEFORE TAXES</b> from all sources \$ _____</p>

**3 CHANGE IN INVESTMENT OBJECTIVES**

**Non Registered Accounts**

<b>Account Objectives Must Total 100%</b>		<b>Risk Tolerance Must Total 100%</b>	
Income	_____ %	Low	_____ %
Growth	_____ %	Medium	_____ %
Speculative	_____ %	High	_____ %
	100%		100%

**Registered Accounts**

<b>Account Objectives Must Total 100%</b>		<b>Risk Tolerance Must Total 100%</b>	
Income	_____ %	Low	_____ %
Growth	_____ %	Medium	_____ %
Speculative	_____ %	High	_____ %
	100%		100%

**Definitions of Objectives and Risk Tolerance**

“Income” means my emphasis is on obtaining an income stream through investments in securities including, but not limited to, fixed income and dividend paying equity products as well as some trust units.

“Growth” means my emphasis is on realizing capital gains through investments in securities including, but not limited to, equity products.

“Speculative” means my emphasis is on maximizing my return potential through investments in high-risk securities including, but not limited to, speculative equities (or “penny stocks”), options or high risk fixed income products. I may also engage in short term trading.

“Low” risk tolerance means a preference to invest the (approximate) stated percentage of the total funds in my account(s) in relatively low-risk, higher quality income-producing securities. Securities in this category would include Treasury Bills and Canada Savings Bonds.

“Medium” risk tolerance means a preference to invest the (approximate) stated percentage of the total funds in my account(s) in moderate-risk income-producing securities, as well as non-speculative equities and conservative mutual funds.

“High” risk tolerance means a preference to invest the (approximate) stated percentage of the total funds in my account(s) in speculative, higher-risk securities, including junior stocks and derivatives.

**4 OTHER CHANGES**

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Dated: \_\_\_\_\_, 20\_\_\_\_.

Dated: \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Signature of Client

**Global Securities Corporation**

Per:

\_\_\_\_\_  
Authorized Signatory

Dated: \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Signature of Investment Advisor